



Professional
Development
Institute

Certified Compliance Officer (CCO)



Welcome

The Professional Development Institute® (PDI) is one of the leading international institutions specialized in qualifying and developing professional competencies. It is headquartered in the United Kingdom (UK) and has a proven track record in preparing specialized professionals across vital sectors, including banking, finance, and insurance. PDI is distinguished by offering internationally recognized professional certifications that enhance individual efficiency and strengthen their capabilities in the global workplace.

Amid the growing strictness of regulatory requirements and financial legislation globally, compliance has become a fundamental pillar for ensuring the sustainability of financial institutions and protecting them from legal and operational risks. Compliance departments play a pivotal role in enhancing transparency, reducing financial crimes, and ensuring adherence to both local and international regulations.

This professional diploma aims to equip participants with advanced knowledge and practical skills in the field of compliance, with a focus on regulatory risk management, the application of international best practices, and the development of effective compliance systems within financial institutions. The program also addresses the latest trends and challenges in compliance, including the impact of technology and ongoing regulatory changes.



What you will Learn- Key Takeaways



- Understand and apply core compliance frameworks and regulatory requirements within financial institutions
- Identify, assess, and manage compliance and financial crime risks using a risk-based approach
- Design and implement effective compliance systems, policies, and monitoring mechanisms
- Strengthen governance, oversight, and collaboration across internal functions and regulators
- Analyze the impact of regulatory changes and emerging technologies on compliance practices

Curriculum



Module 1: Compliance Risk Drivers, Influences, and Trends

Module 2: Compliance Culture, Compliance Governance, and Oversight

Module 3: The Three Lines of Defence Model

Module 4: Compliance Management and Enterprise-Wide Risk Management / Financial Crimes Risk Assessment Framework

Module 5: Compliance Risk-Based Approach

Module 6: Compliance Management Key Principles – Policies, Risk Appetite

Module 7: Managing Regulatory Compliance Risks

Module 8: Compliance Monitoring

Module 9: Regulator and Auditor Compliance Management

Module 10: Compliance Analysis and Internal/External Reporting

Module 11: Impact of Technology on Finance Compliance Management and the Implications for the Future

Curriculum



■ Target Audience:

This practical and interactive training program is specifically designed for professionals in the financial sector, including:

- Managers, heads, and staff of compliance and anti-money laundering (AML) departments
- Compliance, AML, and risk management officers and employees
- Professionals working in internal audit and governance





COMPLIANCE



Further Information

Eligibility Requirements


Applicants must:

- Hold a degree from a recognized educational organization.
- Have professional experience in the field.
- Sit for and pass the certification examination.
- Adhere to the Professional Development Institute (PDI) Code of Ethics.

About Exam:

- The examination is offered in multiple languages to support international candidates.
- All candidates are required to sit for their exam at an accredited PDI Test Centre.
- The exam duration is (3) hours.
- Each exam consists of 100 multiple-choice questions (MCQs).

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