



Professional Development Institute  
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## Certified Bank Regulatory Compliance

### Certificate Overview

This professional certificate has been designed to provide participants with a holistic overview of the key elements that they will encounter as Compliance Manager within the Banking sector. The certificate will develop the knowledge, understanding and abilities relating to bank compliance risk management, considering the sorts of chance that emerge from the nature of banking, the trends that are forming emerging risks, and the implications of these for long run of bank compliance risk management.

### What you will Learn - Key Takeaways

Managing and formulating all aspects of a compliance risk management program to make sure of compliance with regulatory requirements laws and regulations.

Understand the ways in which best practice in regulatory compliance risk management could be applied to build and embed an effective compliance risk culture.

### Target Audience

This highly practical and interactive certification program has been specifically designed for professionals in the banking sector with positions:

- Chief Compliance Executives
- Compliance Heads, Directors, and Managers

### Certificate Outline

Bank's Compliance Risk drivers, influences, and trends

Compliance Culture, Compliance Governance and Oversight

The three lines of defence model

Compliance management and Enterprise-Wide Risk Management/Financial Crimes Risk Assessment framework

Compliance Risk Based Approach

Compliance management key principles (policies, risk appetite)

Managing regulatory compliance risks

Assessment and Management of Compliance Risk

Compliance Monitoring



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## **Regulator and Auditor Compliance Management**

### **Compliance Analysis and Internal/External Reporting**

Impact of technology on bank compliance management and the implications for the future

### **Exam Requirements**

Online Examination will need to be sat under controlled conditions at a PDI accredited centre.

70% passing grade.

Exam available in different Languages

3.5 Hours duration



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